

# AN4457 Application note

# Implementing an emulated UART on STM32F4 microcontrollers

#### Introduction

This application note describes how to implement an emulated universal asynchronous receiver transmitter (UART) on microcontrollers of STM32F4 Series.

Such an emulation is needed in applications that require more UARTs than the ones available on STM32F4 microcontrollers.

The emulated UART is full-duplex, supports up to 9 data bits and baud rates up to 115200 bps. It also offers high flexibility, as any I/O pin can be used as TX or RX line. In addition, this UART emulation uses DMA to minimize CPU usage.

This application note provides a basic example of communication between a hardware and a software UART, as well as a summary of CPU load and firmware footprint.

The STSW-STM32156 firmware package associated with this document contains the source code of the UART emulator with all firmware modules required to run the example.

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# 1 UART emulator description

The principle consists in emulating the UART protocol via GPIO, EXTI, timer and DMA peripherals.

## 1.1 Main features

The main features of the UART emulator are the following:

- Full-duplex asynchronous communications up to 115200 bps
- Programmable data word length: from 5 to 9 bits
- Flexible GPIO usage: all GPIOs can be configured as UART\_TX/RX
- Configurable number of stop bits: 1 or 2 stop bits
- Reception:
  - Frames are received at once
  - To receive new frames the UART has to be reinitialized
- Parity control
  - Transmission of parity bit
  - Parity check of received data frame
- Transfer detection flags
  - Receive complete
  - Transmit complete
- Error detection flags
  - Frame error
  - Parity error

# 1.2 UART emulator block diagram

An overview of the interaction between the hardware peripherals and the software modules that make up the UART emulator is shown in *Figure 1*, where the status flags and the error flags are shown, respectively, in green and in red.

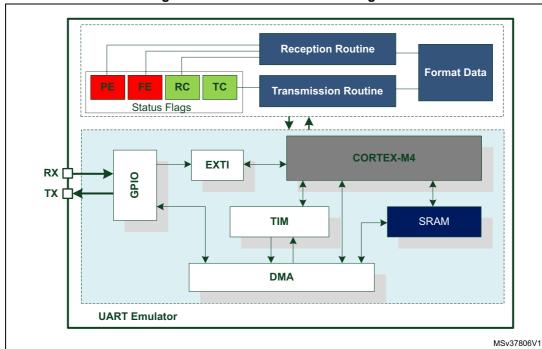


Figure 1. UART emulator block diagram

#### Software modules

They include the routines used for transmitting/receiving data and for formatting the data to be sent by DMA or stored into SRAM. Flags indicating the UART status are also available:

- TC: Transmission Complete
  - This flag is set by software when data transmission is complete.
- RC: Reception Complete
  - This flag is set by software when data reception is complete.
- FE: Framing Error
  - This flag allows frame error detection.
- PE: Parity Error
  - This flag is set by software when a parity error occurs in receive mode.

#### Hardware modules

They consist of all the STM32F4 peripherals involved in UART emulation:

- GPIO: I/O ports used as transmitter or receiver pins.
- EXTI: in reception mode, the start bit falling edge is detected via an EXTI.
- DMA: data transfers are performed by DMA.
- TIM: it ensures that data are transmitted and received at the required speed.
- SRAM: data are formatted and stored in the SRAM.



# 1.3 UART emulator principle

#### 1.3.1 Data transmission

The transmitter can send 5-, 6-, 7-, 8- or 9-bit data frames, depending on user data length configuration.

Figure 2 shows the waveforms corresponding to a 9-bit transmit emulation.

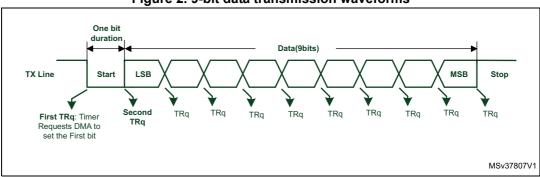


Figure 2. 9-bit data transmission waveforms

# 1.3.2 Single-frame transmission

The transmission sequence includes the following steps:

- 1. The CPU formats the frame to be sent to the memory.
- 2. The timer sends to the DMA a request to set GPIO to 0 (start bit).
- 3. The timer starts counting the start bit duration according to the defined baudrate.
- 4. When the bit duration has elapsed, the timer sends to the DMA a request to set the next bit from memory.

Once the frame transmission is complete, the TC flag (UART transmission complete) is set.

## 1.3.3 Multiple-frame transmission

Multiple-frame transmission is based on two buffers. When the DMA transfers the first frame into the first buffer, the CPU formats the second frame in the second buffer. After each frame transfer, the DMA TC flag must be cleared and the DMA reconfigured with the new source address (the one of the first or of the second buffer). This operation is repeated until all frames are transmitted. When this is done, the TC flag (UART transfer complete flag) is set.

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# 1.4 Data reception

The reception is launched when the start bit is detected via an external interrupt on UART RX line.

Figure 3 shows the waveforms corresponding to a 9-bit receive emulation.

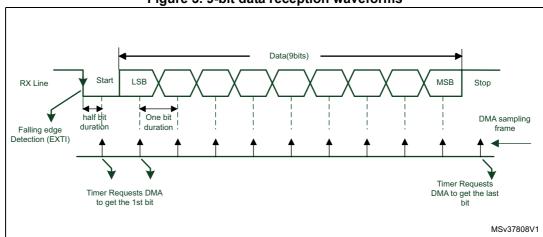


Figure 3. 9-bit data reception waveforms

## 1.4.1 Single-frame reception

Reception starts when the start bit is detected. The reception sequence includes the following steps:

- 1. The CPU checks if the UART is ready and the RX buffer empty.
- 2. The timer sends a request to the DMA to start data transfer after a half bit duration.
- The timer starts counting the bit duration according to the defined baudrate and then sends to the DMA a request to transfer the next bit. This step is repeated until the end of the frame.

Once the frame reception is complete, the RC flag (UART reception complete) is set.

## 1.4.2 Multiple-frame reception

When the falling edge of the start bit is detected, the reception goes on until all frames are received and the RC flag is set.

When the DMA transfer of each frame is complete, the CPU formats data and stores them in SRAM.

Note: During DMA transfer, the CPU is free for other tasks.

The application must assign the highest priority to the external interrupt for bit start detection.

## 1.5 Baudrate

The UART emulator supports baudrates up to 115200 bps.

The baudrate generation is ensured by the timer using different parameters, such as clock division, prescaler and period (ARR value).

The calculation of timer period (bit duration) is based on the following formula:

```
timer_period = timer frequency/ UART baudrate
```

## **Example**

```
    Configuration
```

Timer prescaler = 0

Timer clock division = 0

AHB clock = system clock = 84 MHz

APB2 frequency = 21 MHz

UART baudrate = 9600 bps

Result

as a result

timer frequency = 
$$(84/4) \times 2 = 42 \text{ MHz}$$

and

timer period = 
$$42000000/9600$$

# 2 Software description

# 2.1 Implementation structure

*Figure 4* shows the UART emulator software structure starting from the application level.

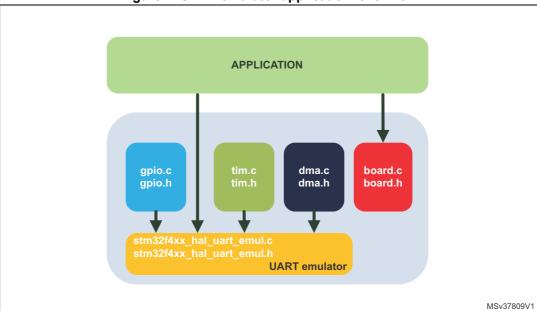


Figure 4. UART emulator application level view

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## 2.2 Transmission

#### 2.2.1 Frame transmission

*Figure 5* describes the implementation of the function that sends a given number of bytes using DMA and timer.

TxXferCount is a counter incremented after the completion each DMA transfer.

**FirstBuffer\_Tx** and **SecondBuffer\_Tx**: the DMA source address switches between two buffers addresses. The first buffer is used by DMA as source data, while the second buffer is used by the CPU to format data.

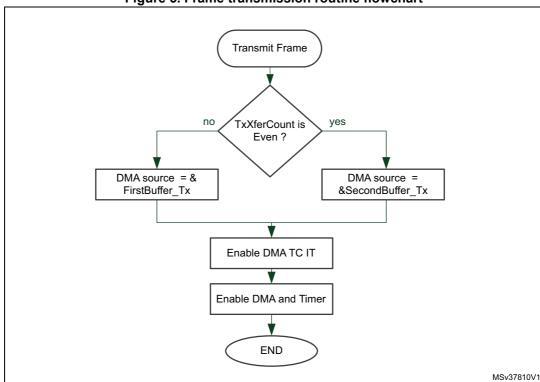


Figure 5. Frame transmission routine flowchart

## 2.2.2 Transmission routine

Figure 6 gives an overview of the UART emulator transmission routine.

UART EMULTX Initialize parameters **UART Status** no = ready? Hal\_Error yes Format frame Transmit Frame TxXferCount no < data\_size? Disable interrupts yes Set UART TC Flag Reset TxXferCount Set UART TC Call back Update UART Status **END** MSv37812V1

Figure 6. Transmission routine flowchart



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# 2.3 Reception

## 2.3.1 Frame reception

*Figure 7* describes the implementation of the function that receives a given number of bytes via DMA and timer.

**RxXferCount**: is a counter that is incremented after the completion each DMA transfer.

**FirstBuffer\_Rx** and **SecondBuffer\_Rx**: the DMA destination address switches between two buffers addresses. The first buffer is used by DMA as data transfer destination, while the second buffer is used by the CPU to format data.

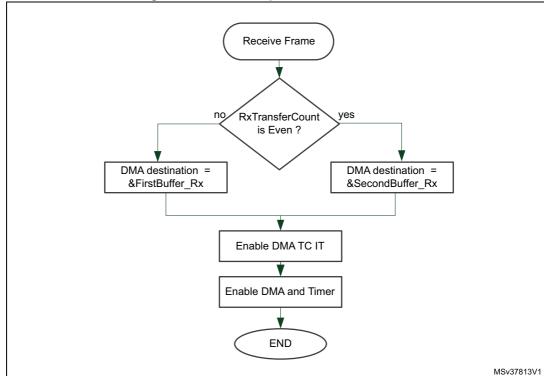


Figure 7. Frame reception routine flowchart

# 2.3.2 Reception routine

Figure 8 flowchart gives an overview of the UART emulator reception routine.

UART EMUL RX Initialize parameters UART Status = ready? Hal Error **¥**yes Enable EXTI Bit start no detection? yes yes ReceiveByte Format Byte RxXferCount no < data\_size? Disable interrupts yes Set UART RC Flag Reset RxXferCount Set UART RC Call back Update UART Status **END** MSv37811V1

Figure 8. Reception routine flowchart

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# 2.4 UART emulator peripherals and main functions

# 2.4.1 Peripheral settings

This section describes the configuration of the peripherals used inside the emulator.

#### GPIO

- BSRR and IDR are used as destination and source registers for DMA transfers.
- Two pins must be configured as input and output by the user application.
- The input pin mode is configured as an EXTI line with falling edge detection.

#### DMA2

- Channel 6 and stream 1 are used for transmission.
- Channel 6 and stream 2 are used for reception.
- The transfer is performed by words.
- DMA transfer complete interrupt is used at the end of frame transfers.

#### Timer 1

- Timer channel 1 is configured as capture compare for DMA transmit requests.
- Timer channel 2 is configured as capture compare for DMA receive requests.
- No clock division: CKD[1:0] =00.
- No prescaler: PSC[15:0]=0.

#### SRAM

Four SRAM buffers are used to format data:

- uint16\_t pFirstBuffer\_Tx[12] and uint16\_t pSecondBuffer\_Tx[12] are the buffers for formatting data in transmission mode.
- uint16\_t pFirstBuffer\_Rx[12], uint16\_t pSecondBuffer\_Rx[112 are the buffers for formatting data in reception mode.

## 2.4.2 Initialization and configuration function

The initialization of the UART is performed by <code>HAL\_UART\_Emul\_Init</code> function, which allows the user to:

- setup the following UART parameters:
  - Baudrate
  - Frame length
  - Stop bit
  - Parity.
- enable clocks for all the peripherals used: Timer, DMA, GPIOs
- configure the DMA: channel, stream, mode, TC interrupt,...
- configure the Timer: channel, period,...

## 2.4.3 UART main functions

This section provides a set of functions ensuring UART transmission/reception emulation.

Table 1. Transmission/reception functions

Function	Parameters	Description
HAL_UART_Emul_Transmit_DMA (UART_Emul_HandleTypeDef *huart, uint8_t *pData, uint16_t Size)	huart: UART Emulator handle pData: Pointer to data buffer Size: Amount of data to be sent	Sends data
HAL_StatusTypeDef HAL_UART_Emul_Receive_DMA (UART_Emul_HandleTypeDef *huart, uint8_t *pData, uint16_t Size)	huart: UART Emulator handle pData: Pointer to data buffer Size: Amount of data to be received	Receives data

Callback functions are also available. They allow the user to implement his own code in the user file.

Table 2. Callback functions

Function	Parameters	Description
weak void HAL_UART_Emul_TxCpltCallback (UART_Emul_HandleTypeDef *huart)	huart: UART Emulator handle	This function is called at the end of transmit process.
weak void HAL_UART_Emul_RxCpltCallback (UART_Emul_HandleTypeDef *huart)	huart: UART Emulator handle	This function is called at the end of receive process.
weak void HAL_UART_Emul_ErrorCallback (UART_Emul_HandleTypeDef *huart)	huart: UART Emulator handle	This function is called when a communication error is detected.

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# 3 Example

The example provided in STSW-STM32156 illustrates the data exchange between the UART emulator and the hardware UART.

# 3.1 Hardware requirements

The hardware required to run this example is the following:

- Two Nucleo boards (NUCLEO-F401RE)
- Two Mini-USB cables to power the boards and to connect the Nucleo embedded ST-LINK for debugging and programming.

The connection between the two Nucleo boards through UART lines is detailed in *Figure 9* and *Table 3*.

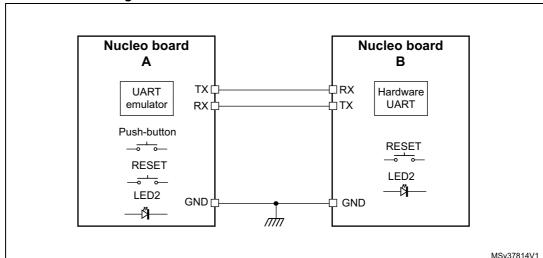


Figure 9. UART emulator and UART HW connection

Table 3. UART emulator and UART hardware connection

Nucleo board A (UART emulator)	Nucleo board B (hardware UART)
UART TX (PC10)	UART RX (PA10)
UART RX (PC11)	UART TX (PA9)
GND	GND

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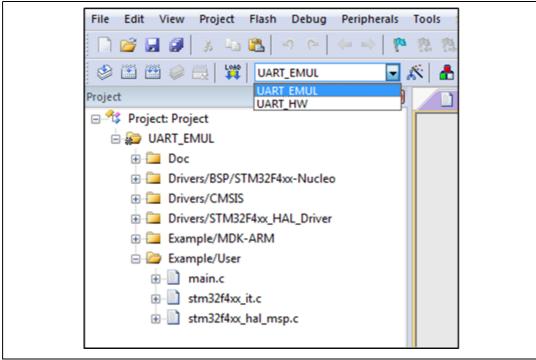
# 3.2 Software settings

The project example includes two workspaces: UART\_EMUL and UART\_HW (see *Figure 10*).

To make the program work, follow the steps described below:

- Open your preferred toolchain (EWARM or MDK-ARM<sup>™</sup>).
- 2. Rebuild all files and load your image into target memory.
- 3. Run the example.





# 3.3 Running the example

To run the example follow the sequence below:

- 1. Power on the two boards.
- 2. Load the code in each board MCU.
- 3. Press the user button key on board A. The example then starts running and the UART emulator starts transmitting data.
- 4. The UART hardware receives the data and sends them back to UART emulator.
- 5. The data transmitted by the UART emulator is compared to received ones: if data do not match, the green LED (LED2) toggles continuously.

Note: For more details, refer to the readme.txt in the firmware package.

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## 3.4 Frame waveforms

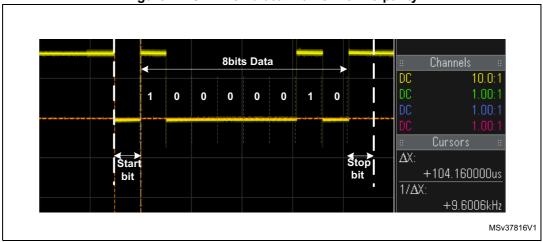
Figure 11 and Figure 12 show examples of configurations of 'A' character transfer:

• First configuration (*Figure 11*):

Baudrate: 9600 baudWord length: 8 bitsNumber of stop bits: 1

Parity: none.

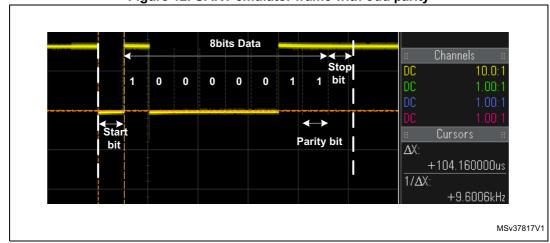
Figure 11. UART emulator frame with no parity



Second configuration (Figure 12):

Baudrate: 9600 baud
Word length: 8 bits
Number of stop bits: 1
Parity: parity odd.

Figure 12. UART emulator frame with odd parity



# 4 UART emulator CPU load and footprint

The UART emulator uses the CPU for several tasks such as data formatting, DMA interrupt handling both transmission and reception, and EXTI interrupt handling for reception.

## 4.1 CPU load

The CPU load depends on whether the transmit or receive process is active. When UART full-duplex mode is active, the CPU load is increased. Refer to *Table 4* for an example.

The software settings used to obtain the results given in *Table 4* are the following:

- System clock: 84 MHz
- Toolchain: MDK-ARM<sup>™</sup> V5.14, optimization level3 (-O3) for size
- Word length: 8 bits
- One stop bit
- No parity.

Table 4. UART CPU load

Baudrate (bps)	Transmission		Reception	
Baudrate (bps)	Load CPU (%)	MIPS	Load CPU (%)	MIPS
4800	2.4	2	4	3
9600	5	4	8.8	7
115200	6	5	9	7.5

# 4.2 UART emulator memory footprint

*Table 5* gives an estimate of the code size required by the UART emulator software compiled with MDK-ARM $^{\text{TM}}$  V5.14, optimization level3 (-O3) for size.

Table 5. UART memory footprint

Flash memory footprint (bytes)	RAM footprint (bytes)	
2872	400	

Conclusion AN4457

# 5 Conclusion

This application note demonstrates that the product capability can be increased by adding an emulated UART.

This solution has many advantages, among them reduced CPU load by using DMA for data transfer. In addition, the user can configure any GPIO as UART transmitter or receiver.



AN4457 Revision history

# 6 Revision history

**Table 6. Document revision history** 

Date	Revision	Changes	
30-Mar-2015	1	nitial release.	
04-Aug-2016	2	Updated document title. Updated Introduction and removed former Table 1: Applicable products. Updated Section 1.1: Main features and Section 1.2: UART emulator block diagram.	

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